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1 UNITED STATES DISTRICT COURT  
2 SOUTHERN DISTRICT OF NEW YORK  
3 -----x

4 DAVID FLOYD, ET AL.,

5 Plaintiffs,

6 v.

08 CV 1034 (SAS)

7 CITY OF NEW YORK, et al.,

8 Defendants.

9 -----x  
10 New York, N.Y.  
11 December 11, 2012  
12 4:30 p.m.

13 Before:

14 HON. SHIRA A. SCHEINDLIN,

15 District Judge

16 APPEARANCES

17 BELDOCK LEVINE & HOFFMAN LLP  
18 Attorneys for Plaintiffs  
19 JENN ROLNICK BORCHETTA  
20 GRETCHEN ANN HOFF-VARNER

21 MICHAEL A. CARDOZO, Corporation Counsel  
22 for the City of New York  
23 Attorneys for Defendants  
24 HEIDI GROSSMAN  
25 SUZANNA PUBLICKER  
JOE MARUTOLLO  
BRENDA COOKE  
CECILIA SILVER  
MORGAN KUNZ

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1 (In chambers)

2 THE COURT: Good afternoon.

3 COUNSEL: Good afternoon, your Honor.

4 THE COURT: Let me just make sure one by one: Do we  
5 have -- is it Ms. Borchetta?

6 MS. BORCHETTA: Yes. Good afternoon, your Honor.

7 THE COURT: Good afternoon. Ms. Hoff-Varner?

8 MS. HOFF-VARNER: Yes, your Honor.

9 THE COURT: That's it for the plaintiffs, right, two  
10 lawyers on this call?

11 COUNSEL: Yes, your Honor.

12 THE COURT: And for the defense, I heard Ms. Grossman.

13 MS. GROSSMAN: Yes, your Honor.

14 THE COURT: Ms. Cooke?

15 MS. COOKE: Yes, your Honor.

16 THE COURT: Ms. Publicker?

17 MS. PUBLICKER: Yes, your Honor.

18 THE COURT: Mr. Marutollo?

19 MR. MARUTOLLO: Yes, your Honor.

20 THE COURT: Ms. Silver?

21 MS. SILVER: Good afternoon, your Honor.

22 THE COURT: And Mr. Kunz?

23 MR. KUNZ: Hi, good afternoon, your Honor.

24 THE COURT: So I have six Assistant Corporation  
25 Counsels on this call? Six, OK, well, that's a lot.

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1 I do have a reporter here, so it's on the record.

2 I have two letters in front of me, I have a  
3 December 7th letter from Ms. Publicker asking that I limit the  
4 questioning of the officers, Dennis and Korabel, that their  
5 depositions be limited to the facts surrounding the  
6 stop-and-frisk of a Mr. Devon Almonor, but that the plaintiff's  
7 lawyer is not being permitted to -- who joined the call?

8 COUNSEL: Nobody. I'm sorry, we're in my office and  
9 my Blackberry -- my email just indicated I received a message.

10 THE COURT: Oh, OK. Now I probably lost my train of  
11 thought, but I think it was that the deposition be limited to  
12 the facts of the stop-and-frisk of Mr. Devon Almonor but not be  
13 permitted to cover what happened once he was brought into the  
14 station house and his parents turned up and there was more to  
15 the story of the interaction with the police and the parents at  
16 the station house.

17 The argument in favor of limiting the deposition is  
18 made in the city's letter of December 7th, which says this is a  
19 stop-and-frisk case and while Mr. Almonor and his family might  
20 have a 1983 case -- and in fact it seemed from the plaintiff's  
21 letters that they do, 11 CV 4121, they have their own case, but  
22 the city's point is that in this case this is stop-and-frisk  
23 case challenging the police practice of stop-and-frisk, so what  
24 happened later in the station house, it just goes beyond stop  
25 and frisk.

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1                   Then I have a response letter dated December 10th from  
2 Mr. Borchetta. And it essentially argues it's all one story  
3 and this is what happens to a family, this is the result of a  
4 stop-and-frisk, that after a person is stopped, and if they're  
5 arrested and if they're taken to a station house, lots more can  
6 go on, and it certainly did here. The parents ended up I guess  
7 prosecuted and there was a whole to-do.

8                   The plaintiffs say in their letter, this isn't the  
9 time for resolving this, this should be done as a motion in  
10 limine to preclude the testimony if it's offered, but we should  
11 be allowed to have discovery of it.

12                  I'm very sympathetic to the Almonors' stories and  
13 their problems, but I don't think it's part of this particular  
14 case because this is not a case for damages, this is now an  
15 injunctive relief case. If it was a damages case, obviously  
16 what happened to this kid and what happened to his parents  
17 would be part of assessing whether they're entitled to damages,  
18 but it's not a case for damage award any longer, not to mention  
19 that they seem to have their own case. I mentioned that  
20 earlier.

21                  MS. GROSSMAN: Your Honor, this is Heidi Grossman.  
22 This case has settled, so it's over and has been settled  
23 already.

24                  THE COURT: Right, I guess maybe I knew that. Yes,  
25 it's in the footnote of your letter, it's in your first letter.

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1 In the footnote it says, "Has since settled." So I knew that.

2 But, Ms. Borchetta, you did write the responding  
3 letter. It's hard for me to understand your points about the  
4 impact on the family and the terrible consequences of  
5 stop-and-frisk. I really don't understand what that has to do  
6 with injunctive relief in a bench trial. I don't need to be  
7 told that if stops are inappropriate people will suffer. That  
8 sort of is like bread goes about butter or horses goes with  
9 carriages or something; if it's wrong, people are going to  
10 suffer, I understand that. But that isn't the point. The real  
11 challenge here is, is it wrong?

12 So the facts of the stop are relevant and there's  
13 going to be this plaintiff and other plaintiffs talking about  
14 the stop, but all these sad poststop consequences I don't think  
15 are my case. And I think therefore it's not a good use of  
16 time.

17 Yes, Ms. Borchetta?

18 MS. BORCHETTA: If I may respond to that: First of  
19 all, we indicated in our letter, we anticipate -- we agreed to  
20 schedule the depositions each for four hours.

21 THE COURT: Yes, I know.

22 MS. BORCHETTA: So they will already be limited.

23 THE COURT: But why should -- hold on, hold on. Why  
24 should you be allowed to go into material that's not relevant  
25 to this case? I understand four hours or two hours or three

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1 hours, but that doesn't give you license to ask the people  
2 about their high school records. Just because you have the cop  
3 for three hours doesn't mean you can ask him anything. What is  
4 he eating for Christmas dinner wouldn't be relevant.

5 MS. BORCHETTA: Your Honor, I appreciate that, but I  
6 think that it is relevant to the stop-and-frisk in a few ways.

7 THE COURT: Why is it relevant to the stop-and-frisk  
8 that happened on the street?

9 MS. BORCHETTA: Because, as I indicated in the letter,  
10 your Honor, we understand that Sergeant -- or actually  
11 Lieutenant Korabel and Officer Dennis in part say that they  
12 took Mr. Almonor to the station in order to release him to his  
13 parents. And in order to impeach that, we should be able to  
14 ask them about their actions at the precinct because their  
15 actions at the precinct indicate that was not in fact the  
16 reason for the stop. And practically --

17 THE COURT: No, wait, wait, I don't understand.  
18 You're saying that their defense is that the reason for the  
19 stop was to hold him safely until his parents could pick him  
20 up? That's their given reason for the stop?

21 MS. BORCHETTA: They testified in the criminal trial  
22 against the Almonor parents. And in that testimony there was  
23 some conflicting reasoning for the stops, but they in part  
24 said, yes, that they had brought him -- one excuse that they  
25 used was that they were bringing him in order to -- bringing

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1 him to the station so his parents could pick him up there.

2 THE COURT: Right. I think you're confusing the stop  
3 and the reason for going to the station house. I doubt that  
4 that was their reason for the stop. The reason they proceeded  
5 to take him to the station house, apparently, was for  
6 safekeeping until the parents could pick him up, or at least  
7 that's one of the reasons they gave. But for the stop, they  
8 said, we stopped this young man because we wanted to -- his  
9 parents to pick him up at a station house? That doesn't make  
10 any sense.

11 MS. BORCHETTA: Well, that's exactly why we should be  
12 at least permitted to ask about that.

13 THE COURT: But you have to have a basis. I doubt  
14 that you have that statement that you think you have. That may  
15 be the reason that they took him from the street to the station  
16 house but not their alleged reason for the stop.

17 Does anybody have any evidence that they ever gave  
18 that reason for the stop, oh, we stopped this young man so we  
19 could take him to a station house?

20 MS. COOKE: Your Honor, this is Brenda Cooke from the  
21 city. I was counsel on the Almonor case which was before you,  
22 and I can speak to that with respect to the officers' bases for  
23 the stop. It was absolutely not anything to do with the fact  
24 that he was a juvenile, he was returned to the precinct so his  
25 parents could retrieve him. In fact, the bases for the stop

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were that there were complaints, numerous complaints, 911 calls in and around the area, of disorderly conduct and fighting and people with weapons of some kind, sticks and other things, overturned trashcans, car alarms going off, and these officers responded to the area and stopped Devon Almonor on the basis of thinking that he was one of the people that was of the 911 --

MS. BORCHETTA: Your Honor, if I may, because there are other reasons that we believe this is a relevant area of inquiry, and while we are going to focus in our inquiry on the circumstances that Ms. Cooke has just gone at length into, it is also relevant how people experience these stop-and-frisks, and it is relevant, the damage to people.

THE COURT: No, I'm sorry, wait, wait, excuse me. It is not. This is not a damages case. The plaintiffs chose to forego requests for damages in Floyd. It's solely injunctive relief.

MS. BORCHETTA: Your Honor, I appreciate that.

THE COURT: Well, good. Then I'm not taking any damages evidence as to any plaintiffs in this case.

MS. BORCHETTA: Your Honor, but that neuters in part why this policy has an impact on the public, which we --

THE COURT: That was your choice. The plaintiffs chose to dismiss their damages claims. The plaintiffs chose to move --

MS. BORCHETTA: But, your Honor --

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1                   THE COURT: We can't both talk at once. The  
2 plaintiffs chose to move solely for injunctive relief and  
3 dismiss their damages claims. So I can't allow damages proof.

4                   MS. BORCHETTA: But, your Honor, then our class member  
5 witness is not going to be permitted to testify how they felt  
6 when they were stopped? I mean that's something that I believe  
7 that these people should be permitted to testify about, how  
8 they experienced the stop.

9                   THE COURT: Yes, I think that's right, but not the  
10 consequences. They can't then say, "And I had nightmares for  
11 the next six months." That's not how they experienced the  
12 stop; that's the consequence of the stop, that's the way they  
13 were damaged. So there's a difference. How they felt at the  
14 time of stop, yes, "I was scared," you know, "I was humiliated,  
15 I felt demeaned and insulted," I've heard that testimony  
16 before, and I would allow it. But "I couldn't sleep for the  
17 next six months" or "I started getting headaches on a regular  
18 basis," no, that's damages proof.

19                   MS. BORCHETTA: Well, your Honor, this to me seems  
20 like a broader limitation than just on the Almonor stop. And  
21 it raises questions of, for example, if the city -- we haven't  
22 gotten here yet because we haven't had the motions in limine,  
23 but to the extent the city is putting forward evidence of the  
24 effectiveness of the program and considerations of the impact  
25 on the community, for example, we should be permitted to

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1 counter that in that way. And the evidence to counter that  
2 policy would be evidence of how people are experiencing it.

3 So it seems to me that's a broader limitation --

4 THE COURT: But I thought I've already written on this  
5 and said -- and it's been quoted -- that I'm not here to try  
6 the effectiveness of the policy, I'm here to try the  
7 constitutionality of the policy. So I'm not a policymaker, and  
8 I'm not saying whether it's effective in reducing crime or  
9 effective in getting guns off the street or ineffective in  
10 getting guns off the street and there's a very low rate of  
11 seizure and this and that. I thought I wasn't going into  
12 policy. I thought I was doing the judicial side of this case,  
13 which is constitutionality.

14 MS. BORCHETTA: Your Honor, then I would only say  
15 that, again, concerning going into the questioning and having  
16 this limit, that there could be something relevant. For  
17 example, what if Officer Dennis does say he stopped him in  
18 order to bring him to the --

19 THE COURT: OK, that's different.

20 MS. BORCHETTA: I know it sounds ridiculous --

21 THE COURT: No, it doesn't.

22 MS. BORCHETTA: -- but we don't know what he's going  
23 to say. If he says --

24 THE COURT: You're right, you're right. I can't rule  
25 on every strange possibility. If he said, "The reason I

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1 scooped up this 13-year-old is so I could safely bring him to a  
2 precinct so his parents could get there," that changes  
3 everything, because then when the parents got there, according  
4 to the letters, the cops were insulting and wouldn't let them  
5 deal with the kid and all the rest of it, so that would impeach  
6 that reason, but let's cross that bridge if you get there. I  
7 don't think that's what the police are going to testify to.  
8 It's probably what Ms. --

9 MS. COOKE: That's correct, your Honor. This is  
10 Ms. Cooke from the city. And Officer Korabel and the  
11 plaintiff -- already in their presence testified to the grand  
12 jury with respect to the parents and testified at the parents'  
13 criminal trial. So twice he's on record already under oath,  
14 sworn statement, and neither time did he make that statement.  
15 Is there a remote possibility that he might make that? It's a  
16 ruling for a later date.

17 MS. BORCHETTA: But, your Honor, one more point that  
18 I'd just like to make on this is that we do believe that the  
19 consequences, not in the damages sense but in the practice  
20 sense of a stop-and-frisk resulting in the numbers of arrests  
21 or the numbers of bringing people into the station, we do  
22 believe that that has a bearing on the pattern and practice.

23 THE COURT: I agree, I agree. It's certainly fair  
24 grounds here to say to the officer, "And after you stopped him,  
25 what did you do with him?" "We took him to the station house."

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1 Good. You've got the evidence that he was taken in.

2 You can also do that statistically: Of the half  
3 million stops, X number are arrests, X numbers involved station  
4 house, X number involved desk appearance tickets, X number were  
5 let go on the street. That's all right. That's the immediate  
6 consequence of the stop. Did it result in an arrest, did it  
7 result in a frisk, did it result in being taken to the station  
8 house? I have no problem with that. But to get into the how  
9 the parents were treated at the precinct is just far afield.

10 If it's true, it was not a nice thing. That's not my  
11 point today. I'm not judging whether it was nice or not nice;  
12 it's whether it relates to the Floyd case. And I ask you to  
13 keep perspective on that. You don't have a jury -- you're not  
14 trying to get sympathy here -- it's me. I think I understand  
15 what I'm trying.

16 MS. BORCHETTA: And, your Honor, we did not intend to  
17 go into the peripheral of the parents; we intended to keep it  
18 limited. And I think --

19 THE COURT: Limited to what?

20 MS. BORCHETTA: -- what your Honor is saying is in  
21 line what we intended to do at first. In other words, for  
22 impeachment purposes, if they open a door, that means that we  
23 need to ask them about what happened at the precinct. Then  
24 we're permitted to ask them at the precinct. That's all that  
25 we want.

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1                   THE COURT: If they open that door. I can see I'm  
2 going to get a call from this deposition, but you've heard what  
3 I have had to say on this record, and it's here, the record, so  
4 you know what I think. To the extent it relates to the stop  
5 and the immediate consequence of the stop, how the kid felt,  
6 fine, but I'm not getting into what happened to the parents.  
7 Their arrest or their handcuffs or all of rest of it is not a  
8 street stop.

9                   OK? Do we have any more questions on these upcoming  
10 depositions?

11                   There's a lot of silence, so I guess --

12                   MS. BORCHETTA: Well, I'm sorry, your Honor -- no, go  
13 ahead, Heidi.

14                   MS. GROSSMAN: Well, we wanted to make sure it's a  
15 four-hour deposition.

16                   THE COURT: I think they agreed to that, I think  
17 plaintiffs have agreed to that.

18                   MS. GROSSMAN: OK, as long as we all agree that these  
19 depositions will be four hours, we're fine.

20                   MS. BORCHETTA: And that includes the class member  
21 witnesses?

22                   MS. GROSSMAN: No, it doesn't, because the class  
23 member witnesses have numerous stops to talk about and the  
24 officer depositions have one stop, and so there really is no  
25 reason why an officer, who's involved in one stop that might

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1 last a few minutes, should be deposed for four hours where a  
2 plaintiff, who is asserting that there are numerous stops that  
3 they were subjected to could -- the depositions could go  
4 longer.

5 But that being said, your Honor, we have taken some  
6 depositions, and we have been very respectful of the time and  
7 we hadn't used the full seven hours. So it's just that we have  
8 about 21 defendant officers that we have to produce and the  
9 Court's been very generous about giving us time in January, but  
10 with every day spent, it's impossible to -- we need to put  
11 aside the four hours so that we can double up and accomplish  
12 these --

13 THE COURT: Well, you have six lawyers on this phone  
14 call, so you have an awful lot of lawyers on the case, but in  
15 any event, if all six of them would be at deposition defenses,  
16 that would be good.

17 So, it seems to me that Ms. Grossman is right again,  
18 that officers who are involved in a single stop, surely you can  
19 get the facts out about a single stop in four hours but a  
20 plaintiff who says he's been stopped ten times, it takes time  
21 to get through ten incidents. So I can't rule in the abstract.  
22 If somebody has been stopped three times, it's three; somebody  
23 stopped ten times, it's going to be ten. It's going to take  
24 longer to question about ten than three.

25 MS. BORCHETTA: Your Honor, I'll represent some

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1                   witnesses have only been stopped once.

2                   THE COURT: That's fine. That's my point. Common  
3                   sense prevails. I have experienced and reputable Assistant  
4                   Corporation Counsel on this call. Common sense says if it's  
5                   one stop it's not going to take seven hours. The whole  
6                   argument Ms. Grossman just made is, it could take seven hours  
7                   if there are multiple stops, she has common sense; if it's one  
8                   stop, it's the same as the cop with the one stop. So please  
9                   use your common sense. One is one, three is three, seven is  
10                   seven, and ten is ten. Now, that's been my best ruling of the  
11                   day.

12                   Anything else?

13                   No? Have a good evening.

14                   COUNSEL: No. Thank you, your Honor.

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